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|--|---|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |   | ATTORNEY'S DOCKET NUMBER<br>97086-00070<br>U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>To Be Assigned <b>10/564570</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/CH2004/000515   | INTERNATIONAL FILING DATE<br>17 August 2004 | PRIORITY DATE CLAIMED<br>15 September 2003  |
| TITLE OF INVENTION<br>RAIL PROFILE AND PRESENTATION DEVICE PRODUCED THEREWITH  |   |   |
| APPLICANT(S) FOR DO/EO/US<br>Herbert WALTER  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.<br>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<br>a. <input checked="" type="checkbox"/> is attached hereto. (with 14 sheets of formal drawings)<br>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).<br>b. <input type="checkbox"/> have been communicated by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br>Items 11 to 20 below concern document(s) or information included:<br>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input type="checkbox"/> A preliminary amendment.<br>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A power of attorney and/or change of address letter.<br>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.<br>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).<br>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |   |   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATION NO. (if known, see 37 CFR 1.51)<br><b>To Be Assigned</b><br><div style="font-size: 2em; font-weight: bold; margin-left: 100px;">107564570</div>   | INTERNATIONAL APPLICATION NO.<br><b>PCT/CH2004/000515</b> | ATTORNEY'S DOCKET NUMBER<br><b>97086-00070</b>   |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
|--|---|--|---|--------------|--------------|-----------|--|---------|--|---------|----------|---------|---|--|----------|--|----------|--|
| 20. Other items or information:<br>Return Receipt<br>Express Mail Certificate with Express Mail Label No. EV 623707204 US  |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| The following fees have been submitted   |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 60%;">CALCULATIONS</th> <th style="width: 40%;">PTO USE ONLY</th> </tr> </table>     | CALCULATIONS  | PTO USE ONLY |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| CALCULATIONS   | PTO USE ONLY  |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 300</td> <td style="width: 40%;"></td> </tr> </table>                       | \$ 300  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 300   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br><br>If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0<br>All other situations..... \$200  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 200</td> <td style="width: 40%;"></td> </tr> </table>                       | \$ 200  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 200   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100<br>International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400<br>All other situations..... \$500 |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 400</td> <td style="width: 40%;"></td> </tr> </table>                       | \$ 400  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 400   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <b>TOTAL OF 21, 22 and 23 =</b>  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">900</td> <td style="width: 40%;"></td> </tr> </table>                          | 900   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| 900  |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;"></td> </tr> </table>                             |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
|  |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">Total Sheets</th> <th style="width: 20%;">Extra Sheets</th> <th style="width: 40%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td>34 - 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> </tr> </table>   | Total Sheets  | Extra Sheets   | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE         | 34 - 100 =   | /50 =     |  | x \$250 | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ - 0 -</td> <td style="width: 40%;"></td> </tr> </table> |         | \$ - 0 - |         |   |  |          |  |          |  |
| Total Sheets   | Extra Sheets  | Number of each additional 50 or fraction thereof (round up to a whole number)  | RATE  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| 34 - 100 =   | /50 =   |  | x \$250   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ - 0 -   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table>                           | \$  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 40%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>13 - 20 =</td> <td></td> <td>x \$ 50</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td></td> <td>x \$200</td> </tr> </table>   | CLAIMS  | NUMBER FILED   | NUMBER EXTRA  | RATE         | Total claims | 13 - 20 = |  | x \$ 50 | Independent claims   | 1 - 3 = |          | x \$200 | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ - 0 -</td> <td style="width: 40%;"></td> </tr> <tr> <td style="width: 60%;">\$ - 0 -</td> <td style="width: 40%;"></td> </tr> </table> |  | \$ - 0 - |  | \$ - 0 - |  |
| CLAIMS   | NUMBER FILED  | NUMBER EXTRA   | RATE  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Total claims   | 13 - 20 =   |  | x \$ 50   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Independent claims   | 1 - 3 =   |  | x \$200   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ - 0 -   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ - 0 -   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 360</td> <td style="width: 40%;"></td> </tr> </table>                       | \$ 360  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 360   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table>                           | \$  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;"></td> </tr> </table>                             |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
|  |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <b>SUBTOTAL =</b>  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 1,260</td> <td style="width: 40%;"></td> </tr> </table>                     | \$ 1,260  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 1,260   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$</td> <td style="width: 40%;"></td> </tr> </table>                           | \$  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <b>TOTAL NATIONAL FEE =</b>  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 1,260</td> <td style="width: 40%;"></td> </tr> </table>                     | \$ 1,260  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 1,260   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 40</td> <td style="width: 40%;"></td> </tr> </table>                        | \$ 40   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 40  |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| <b>TOTAL FEES ENCLOSED =</b>   |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">\$ 1,300</td> <td style="width: 40%;"></td> </tr> </table>                     | \$ 1,300  |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| \$ 1,300   |   |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
|  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be refunded:</td> <td style="width: 40%;">\$</td> </tr> </table>     | Amount to be refunded:  | \$           |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Amount to be refunded:   | \$  |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
|  |   | <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be charged</td> <td style="width: 40%;">\$ 1,300</td> </tr> </table> | Amount to be charged  | \$ 1,300     |              |           |  |         |  |         |          |         |   |  |          |  |          |  |
| Amount to be charged   | \$ 1,300  |  |   |              |              |           |  |         |  |         |          |         |   |  |          |  |          |  |


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- a. ☒ A check in the amount of \$ 1,300 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 503571. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Sanjiv M. Chokshi, Esq.  
McCarter & English, LLP  
Four Gateway Center  
100 Mulberry Street  
Newark, NJ 07102

  
\_\_\_\_\_  
SIGNATURE  
Sanjiv M. Chokshi  
\_\_\_\_\_  
NAME  
44,080  
\_\_\_\_\_  
REGISTRATION NUMBER

Sanjiv M. Chokshi

(973) 848-5368 Direct Dial  
(973) 297-3765 Fax  
schokshi@mccarter.com



13 January 2006

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: International Application No. PCT/CH2004/000515  
Title: Rail Profile and Presentation Device Produced Therewith  
Our Ref.: 97086-00070

**Customer No.: 27614**  
**"Express Mail" Label No. EV 623707204 US**

Assignee: Visplay International AG

Dear Sir:

Enclosed herewith for filing please find:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390);
2. A copy of the Published International Application (35 pages);
3. An English language translation of the International Application as filed (20 pages);
4. A copy of the International Search Report (PCT/ISA/210);
5. Formal drawings (14 pages);
6. Declaration and Power of Attorney Form (executed);
7. Assignment (executed and stapled to Form PTO-1595);
8. Express Mail Certification (stapled to this letter); and
9. One return postcard.

Mail Stop PCT  
Commissioner for Patents  
Page 2  
13 January 2006



Our check in the amount of \$1,300 to cover the fees associated with this filing is enclosed herewith. The Commissioner is authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 503571. A duplicate copy of this letter is enclosed for such purposes.

Respectfully submitted,

McCARTER & ENGLISH, LLP

A handwritten signature in cursive script, appearing to read 'Sanjiv Chokshi'.

By: Sanjiv M. Chokshi  
Reg. No. 44,080

SMC/ggm  
Encls.

97086/00070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of :  
WALTER, Herbert :  
International Appln. No. PCT/CH2004/000515 :  
International Filing Date: 17 August 2004 :  
For: RAIL PROFILE AND PRESENTATION :  
DEVICE PRODUCED THEREWITH :  
\_\_\_\_\_X

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

"Express Mail" Mailing Label Number EV 623707204 US

Date of Deposit 13 January 2006

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Gretchen Merriweather